

The University of New Mexico  
Board of Regents' Audit and Compliance Committee  
Special Meeting  
March 31, 2017 – 1:00 PM  
Roberts Room  
**Agenda**

**ACTION ITEMS**

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from March 2, 2017
3. Audit and Compliance Committee Meeting dates for Calendar Year 2017. The following proposed meeting dates are being presented for Committee approval. The Committee meets in the Roberts Room. This schedule will accommodate both entrance and exit conferences for the FY17 External Financial Statements Audit.

April 28, 2017 at 1:00 PM (Entrance Conference for FY17 External Audit)

August 3 or 4, 2017 Start at 9:00 AM

October 20, 2017 Start at 9:00 AM (Exit Conference for FY17 External Audit)

4. Approval of the Contract for UNM FY17 Annual Financial Statements Audit (*Elizabeth Metzger, University Controller*)

**INFORMATION ITEMS**

5. Advisors' Comments
6. Main Campus Chief Compliance Officer Status Report (*Libby Washburn, UNM Main Campus Chief Compliance Officer*)
7. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*)
8. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)  
Implemented  
Pending

**EXECUTIVE SESSION**

9. Vote to close the meeting and to proceed in Executive Session as follows:
  - a. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2
  - b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
  - c. Schedule of Audits in Process and FY17 Audit Work plan, pursuant to RPM 1.2
  - d. Vote to re-open the meeting.
10. Certification that only those matters described in Agenda item # 9 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session.
11. Adjournment